



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

D.J. 2182
#2-5-11-83
PATENT
IDS w/Refer

In re application of: Chen et al.

Art Unit: 2183

Application No. 10/017,861

Filed: December 14, 2001

For: TECHNIQUES FOR MEASUREMENT OF
PERCEPTUAL AUDIO QUALITY

Examiner: Not yet assigned

Date: May 1, 2002

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on May 1, 2002 as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.


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Attorney for Applicant

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.97(b)(3)

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Sir:

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language and/or non-English-language documents. The non-English language documents (portions of Zwicker et al., Das Ohr als Nachrichtenempfänger and portions of Zwicker, Psychoakustik) related to human auditory models. Applicants respectfully request that these documents be listed as references cited on the issued patent.


Applicants filed this Information Disclosure Statement ("IDS") before the mailing date of a first Office action on the merits. As a result, no fee should be required to file this IDS. However, if the Patent Office determines that a fee is required for Applicants to file this Information Disclosure Statement, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550. A duplicate copy of this Information Disclosure Statement is enclosed.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

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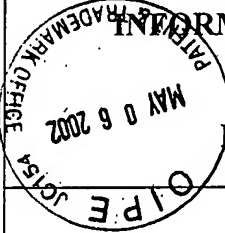
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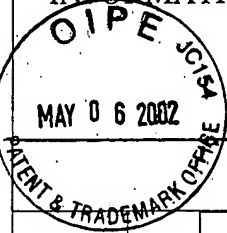

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Docketing

				Docket: 3382-61341		App: 10/017,861	
				Applicant: Chen et al.			
				Filed: December 14, 2001		Art Unit: 2183	
U.S. PATENT DOCUMENTS							
Init.*		Number	Date	Name	Class	Sub	Filed
		5,686,964	11.11.97	Tabatabai et al.			
		5,845,243	12.01.98	Smart et al.			
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OTHER DOCUMENTS							
			Gibson et al., <u>Digital Compression for Multimedia</u> , Title Page, Contents, "Chapter 7: Frequency Domain Coding," Morgan Kaufman Publishers, Inc., pp. iii, v-xi, and 227-262 (1998).				
			H.S. Malvar, <u>Signal Processing with Lapped Transforms</u> , Artech House, Norwood, MA, pp. iv, vii-xi, 175-218, and 353-57 (1992).				
			H.S. Malvar, "Lapped Transforms for Efficient Transform/Subband Coding," <i>IEEE Transactions on Acoustics, Speech and Signal Processing</i> , Volume 38, No. 6, pp. 969-78 (1990).				
			Seymour Schlien, "The Modulated Lapped Transform, Its Time-Varying Forms, and Its Application to Audio Coding Standards," <i>IEEE Transactions on Speech and Audio Processing</i> , Vol. 5, No. 4, pp. 359-66 (July 1997).				
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EXAMINER:				DATE			
*Examiner: Initial if considered, whether or not in conformance with MPEP 609; draw line through cite if not in conformance and not considered. Send copy.							

INFORMATION DISCLOSURE STATEMENT  BY APPLICANT		Docket: 3382-61341	App: 10/017,861
		Applicant: Chen et al.	
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		Srinivasan et al., "High-Quality Audio Compression Using an Adaptive Wavelet Packet Decomposition and Psychoacoustic Modeling," <i>IEEE Transactions on Signal Processing</i> , Vol. 46, No. 4, pp. 1085-93 (April 1998).	
		Caetano et al., "Rate Control Strategy for Embedded Wavelet Video Coders," <i>Electronics Letters</i> , pp. 1815-17 (October 14, 1999).	
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		Zwicker et al., <u>Das Ohr als Nachrichtenempfänger</u> , Title Page, Table of Contents, "I: Schallschwingungen," Index, Hirzel-Verlag, Stuttgart, pp. III, IX-XI, 1-26, and 231-32 (1967).	
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Zwicker, Psychoakustik, Title Page, Table of Contents, "Teil I: Einfuhrung," Index, Springer-Verlag, Berlin Heidelberg, New York, pp. II, IX-XI, 1-30, and 157-162 (1982).

Solari, Digital Video and Audio Compression, Title Page, Contents, "Chapter 8: Sound and Audio," McGraw-Hill, Inc., pp. iii, v-vi, and 187-211 (1997).

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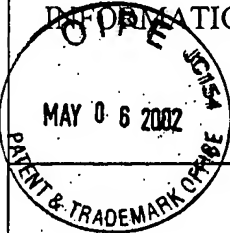
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			Chen et al., U.S. Patent Application Serial No. 10/020,708, entitled, "Adaptive Window-Size Selection in Transform Coding," filed December 14, 2001.
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